

<http://exciting-code.org>



exciting

„exciting in a nutshell“

Pasquale Pavone

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<http://exciting-code.org>



„exciting in a (coco)nutshell“

Pasquale Pavone

Humboldt-Universität zu Berlin

Outline

- ✦ **Following the 5W rule**
- ✦ **DFT/MBPT from an **exciting** perspective**
- ✦ **exciting** functionalities
- ✦ Subsidiary tools
- ✦ **XML** input format
- ✦ **exciting oxygen**

The 5W Rule



★ What?

★ Why?

★ Who?

★ Where?

★ When?

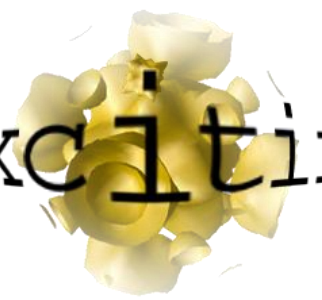
What is exciting?



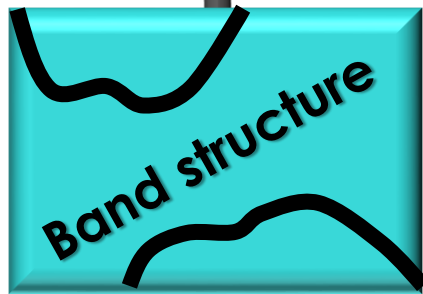
- ❑ **Computation package based on**
 - Density-functional theory
 - Many-body perturbation theory

- ❑ **Basis functions**
 - Augmented plane waves (L)APW + lo

What is exciting?



Optical spectroscopy
Core excitations
Raman spectra



Many-body perturbation theory

Electronic band structure
Energy gaps
Effective masses



Density-functional theory

Equilibrium geometries
Phonon frequencies
Phase diagrams

Why is exciting special?



- ❑ All-electron
 - Core + valence electrons
- ❑ Full-potential
 - Non spherical potentials inside MT
- ❑ Open source
- ❑ High standard of accuracy
 - Golden standard of DFT

Who is exciting?



You!

Where is exciting? When?



Main hub:
Leoben (Austria)

Up to 2011

Where is exciting?



November 2011

Where is exciting?



Main hub:
Berlin (Germany)

Since 2012

Where is exciting?



Main hub:

Berlin (Germany)

Stockholm / Oslo

Linköping

Leoben

Where is exciting?



DFT in exciting



- ❖ Solution of Kohn-Sham (**KS**) equations:

$$\left[-\frac{1}{2} \nabla^2 + v_{\text{KS}}(\mathbf{r}) \right] \psi_{i\mathbf{k}}(\mathbf{r}) = \epsilon_{i\mathbf{k}} \psi_{i\mathbf{k}}(\mathbf{r})$$

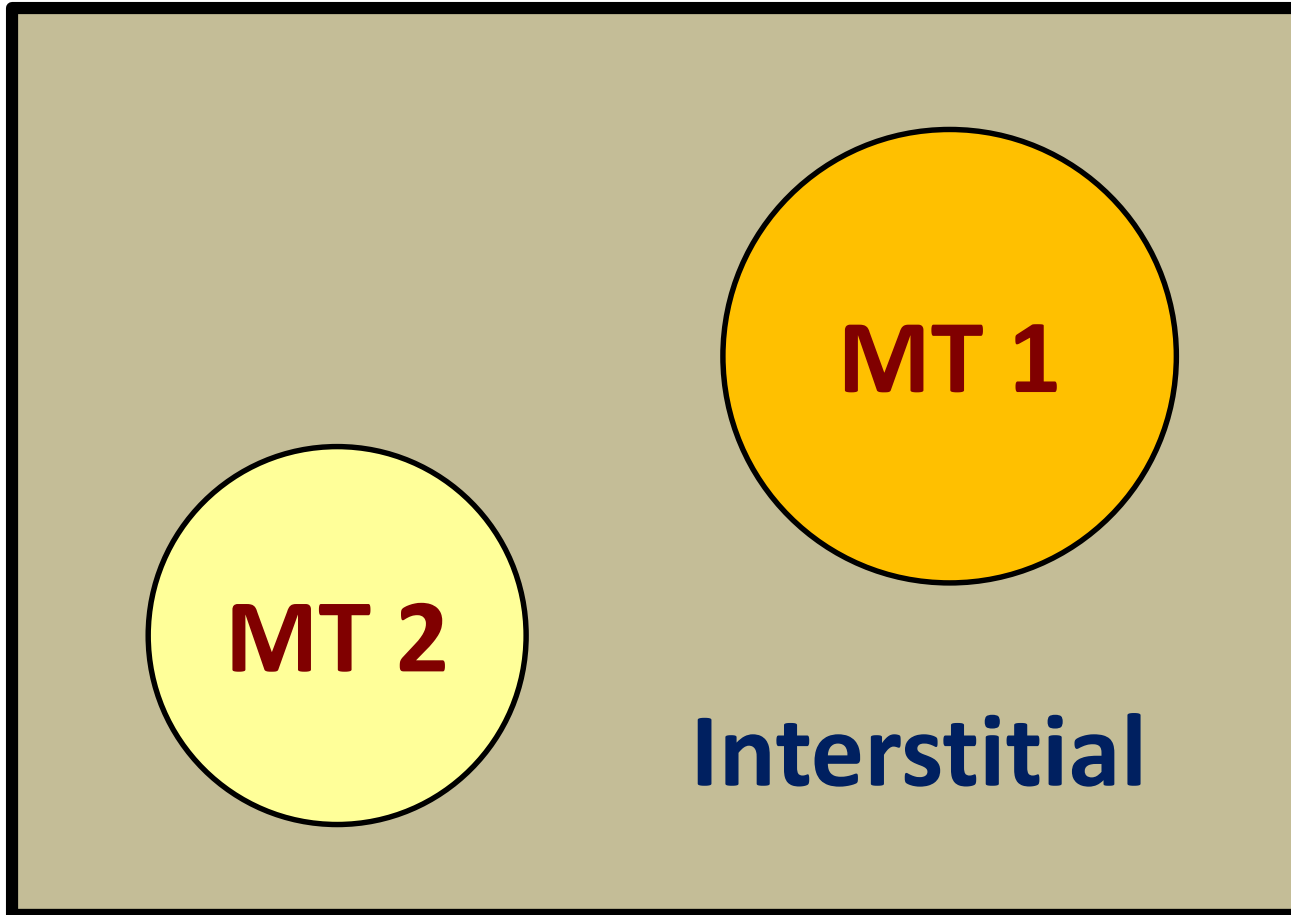
- ❖ Expansion in basis functions (**APW+lo**)

$$\psi_{i\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{i\mathbf{G}}^{\mathbf{k}} \phi_{\mathbf{G}+\mathbf{k}}(\mathbf{r})$$

- ❖ Size of the basis set controlled by parameter

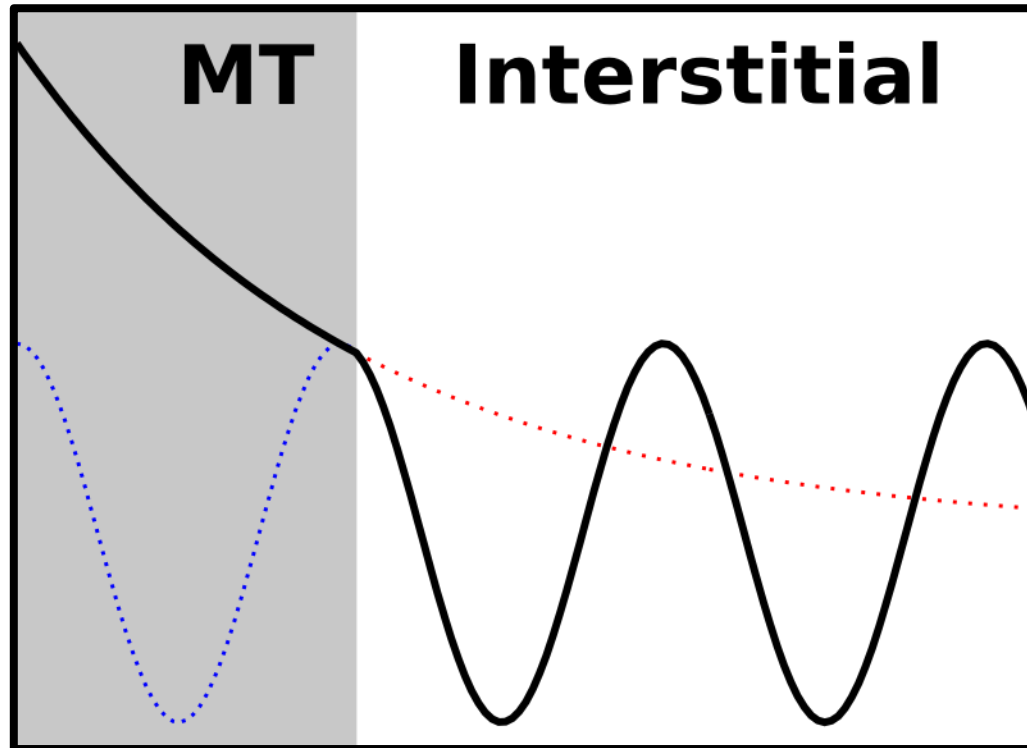
$$R_{\text{MT}} G_{\text{max}} \quad (\mathbf{rgkmax})$$

Muffin-Tin vs. Interstitial



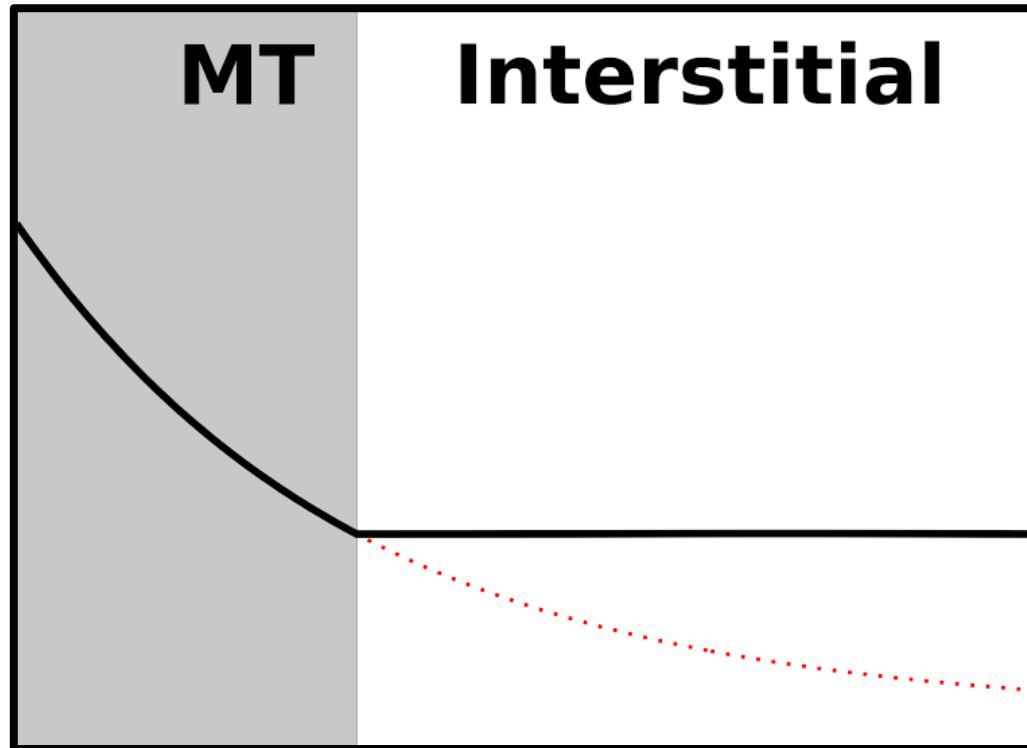
APW Basis: Dual Nature

$$\phi_{\mathbf{G}+\mathbf{k}}(\mathbf{r}) = \begin{cases} \text{"atomic-like" wavefunction in MT} \\ \text{plane wave in Interstitial} \end{cases}$$



Local Orbital Basis

$$\phi_{\mu}(\mathbf{r}) = \begin{cases} \text{“atomic-like” wavefunction in MT} \\ \text{zero in Interstitial} \end{cases}$$





exciting `species` file

```
chemicalSymbol="C" name="carbon"
```

```
<spdb>  
  <sp chemicalSymbol="C" name="carbon" z="-6.00000" mass="21894.16673">  
    <muffinTin rmin="0.100000E-04" radius="1.4500" rinf="21.0932" radialmeshPoints="250"/>  
    <atomicState n="1" l="0" kappa="1" occ="2.00000" core="true"/>  
    <atomicState n="2" l="0" kappa="1" occ="2.00000" core="false"/>  
    <atomicState n="2" l="1" kappa="1" occ="1.00000" core="false"/>  
    <atomicState n="2" l="1" kappa="2" occ="1.00000" core="false"/>  
    <basis>  
      <default type="lapw" trialEnergy="0.1500" searchE="false"/>  
      <custom l="0" type="apw+lo" trialEnergy="0.1500" searchE="true"/>  
      <custom l="1" type="apw+lo" trialEnergy="0.1500" searchE="true"/>  
    </basis>  
  </sp>  
</spdb>
```

```
l="1" type="apw+lo" trialEnergy="0.1500"
```

DFT in exciting



- ❖ Secular equations (matrix equations)

$$H^{\mathbf{k}} C^{\mathbf{k}} = \epsilon^{\mathbf{k}} S^{\mathbf{k}} C^{\mathbf{k}}$$

- ❖ Brillouin zone integration:

$$\sum_n \int_{\text{BZ}} d^3k F(\mathbf{k}) \rightarrow \sum_{\mathbf{k}} [w(\mathbf{k}) \cdot F(\mathbf{k})]$$

Regular grid of points in reciprocal space (**ngridk**)

MBPT in exciting




Kohn-Sham (KS) eigenvalues

DFT exchange-correlation potential

$$\epsilon_{n\mathbf{k}}^{\text{qp}} = \underbrace{\epsilon_{n\mathbf{k}}}_{\text{KS eigenvalues}} + \left\langle \underbrace{\psi_{n\mathbf{k}}(\mathbf{r})}_{\text{KS eigenfunctions}} \left| \mathfrak{K} \left[\Sigma(\mathbf{r}, \mathbf{r}'; \epsilon_{n\mathbf{k}}^{\text{qp}}) \right] - \underbrace{V^{\text{xc}}(\mathbf{r}) \delta(\mathbf{r} - \mathbf{r}')}_{\text{DFT exchange-correlation potential}} \right| \underbrace{\psi_{n\mathbf{k}}(\mathbf{r}')}_{\text{KS eigenfunctions}} \right\rangle$$

KS eigenfunctions



exciting Functionalities

- ❑ **Groundstate properties (DFT)**
 - Lattice constants, elastic moduli, EOS, forces, lattice relaxation
 - KS electronic band structure, KS DOS
 - Spin-polarized calculations
- ❑ **Excitations (MBPT+TDDFT)**
 - **GW, BSE**
 - Quasi-particle (QP) band structure
 - Theoretical spectroscopy

Main exciting Reference

IOP Publishing

Journal of Physics: Condensed Matter

J. Phys.: Condens. Matter **26** (2014) 363202 (24pp)

[doi:10.1088/0953-8984/26/36/363202](https://doi.org/10.1088/0953-8984/26/36/363202)

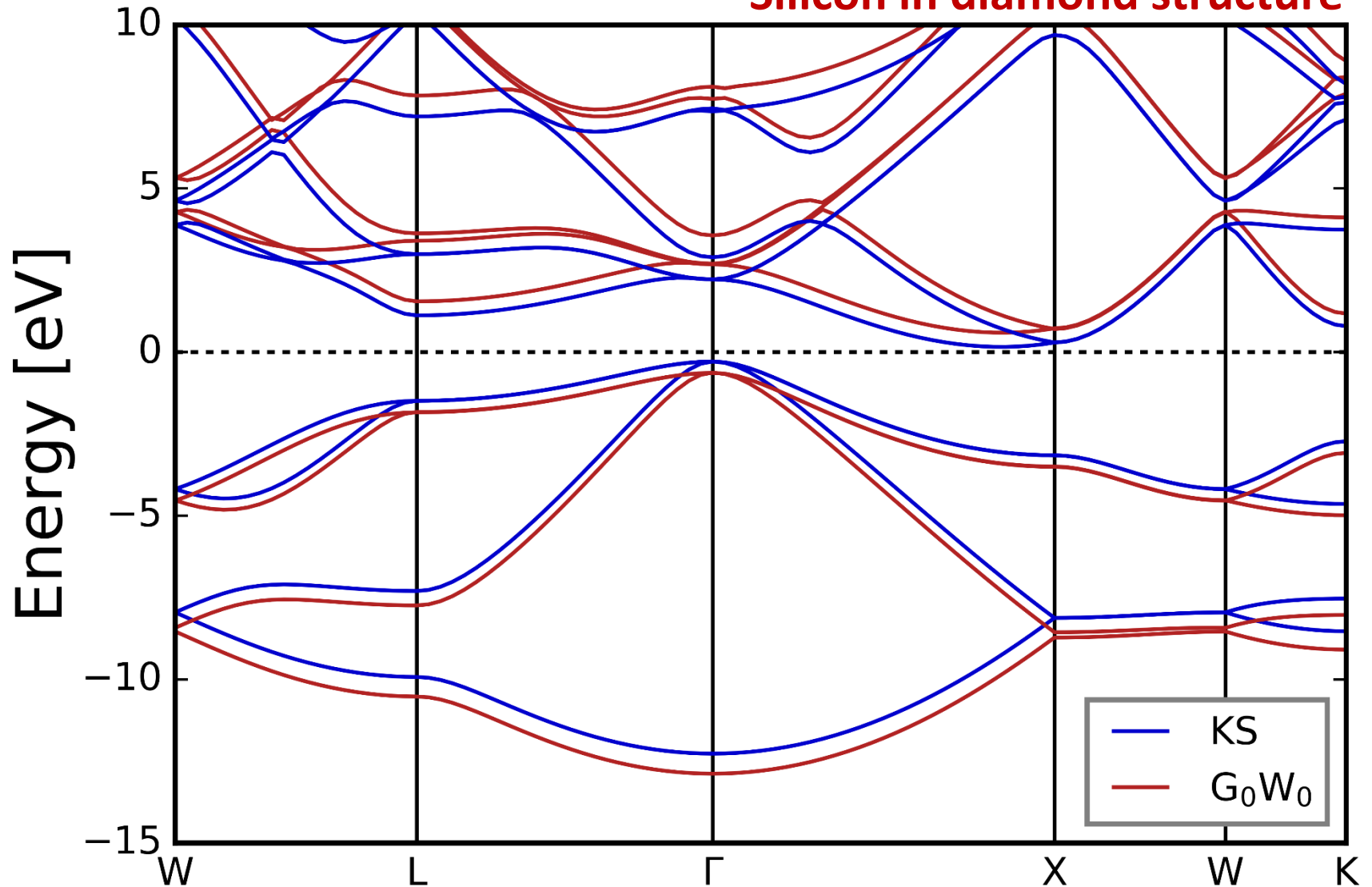
`exciting`: a full-potential all-electron
package implementing density-functional
theory and many-body perturbation theory

Andris Gulans¹, Stefan Kontur¹, Christian Meisenbichler¹,
Dmitrii Nabok¹, Pasquale Pavone¹, Santiago Rigamonti¹,
Stephan Sagmeister², Ute Werner¹ and Claudia Draxl^{1,3}

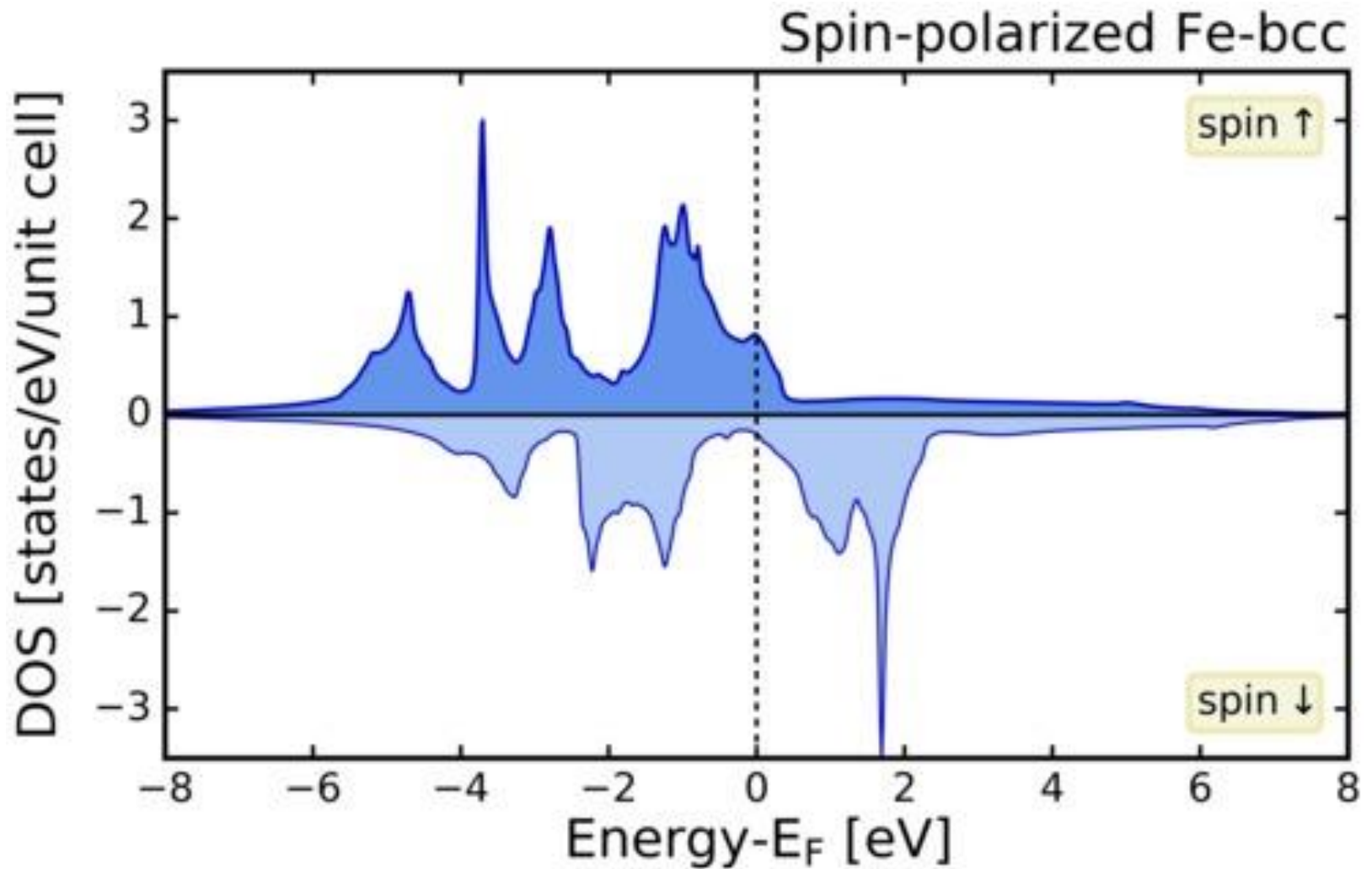
J. Phys: Condens. Matter 26 (2014) 363202

Electronic Band Structure

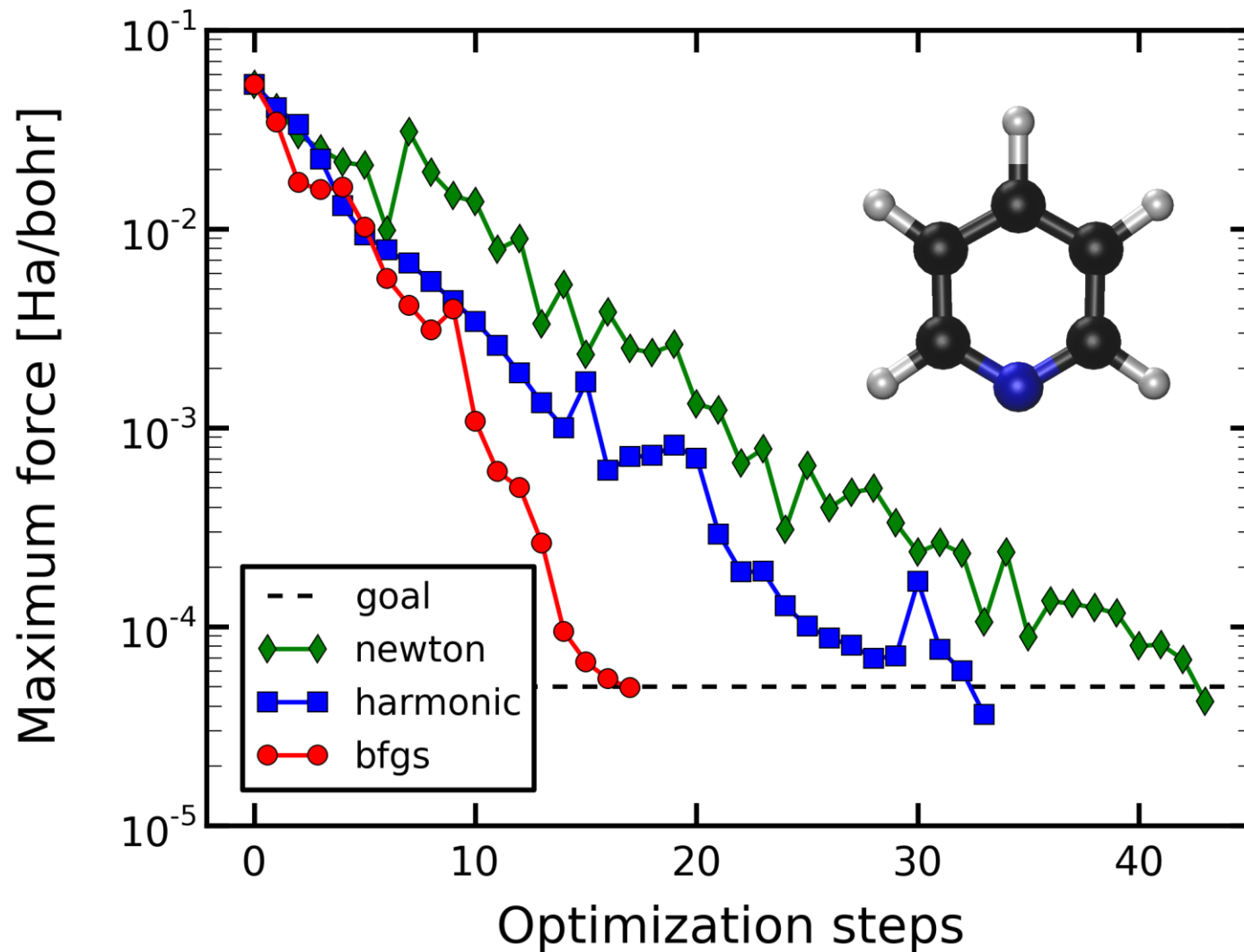
Silicon in diamond structure



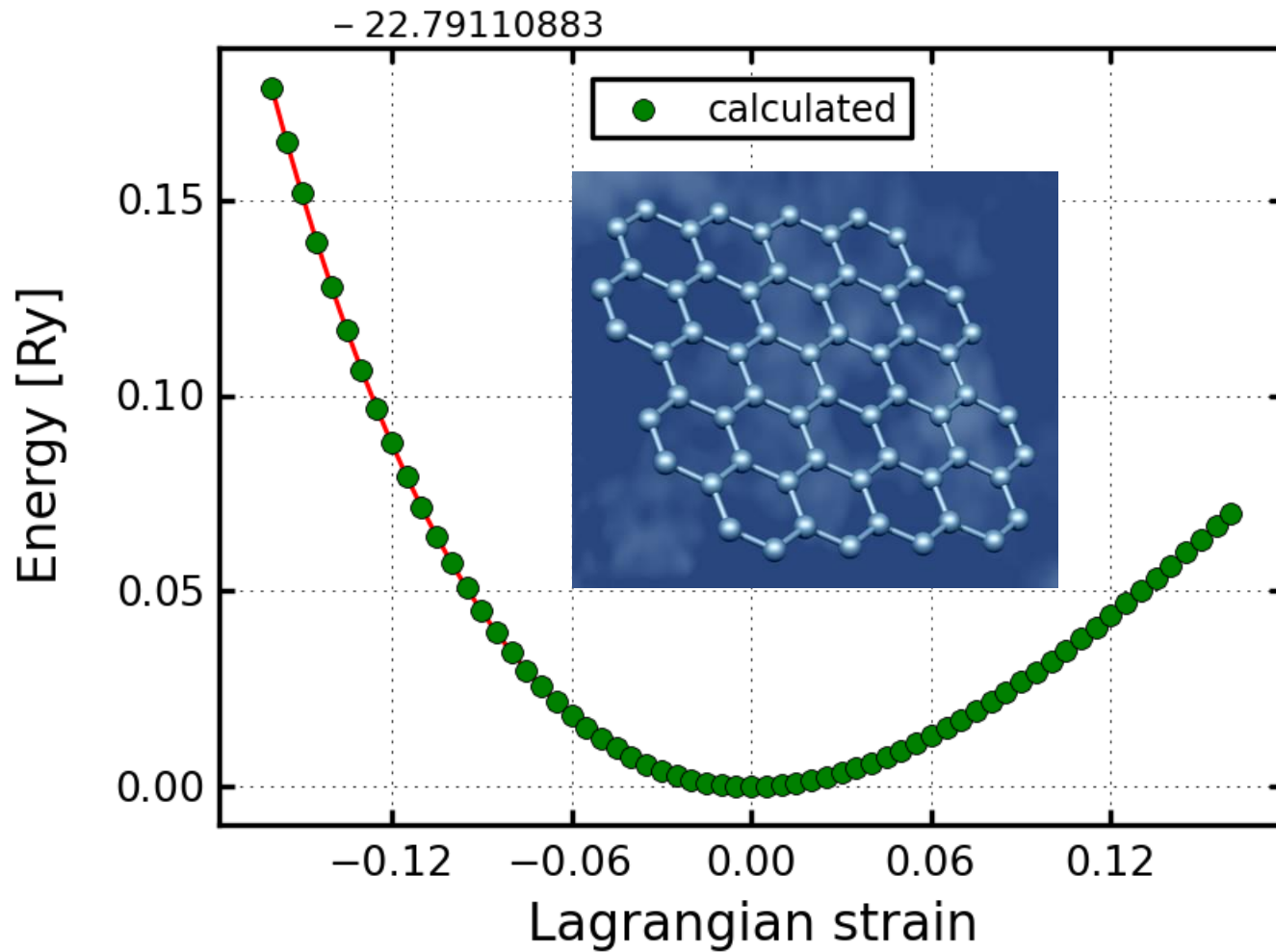
Spin-polarized bcc Fe



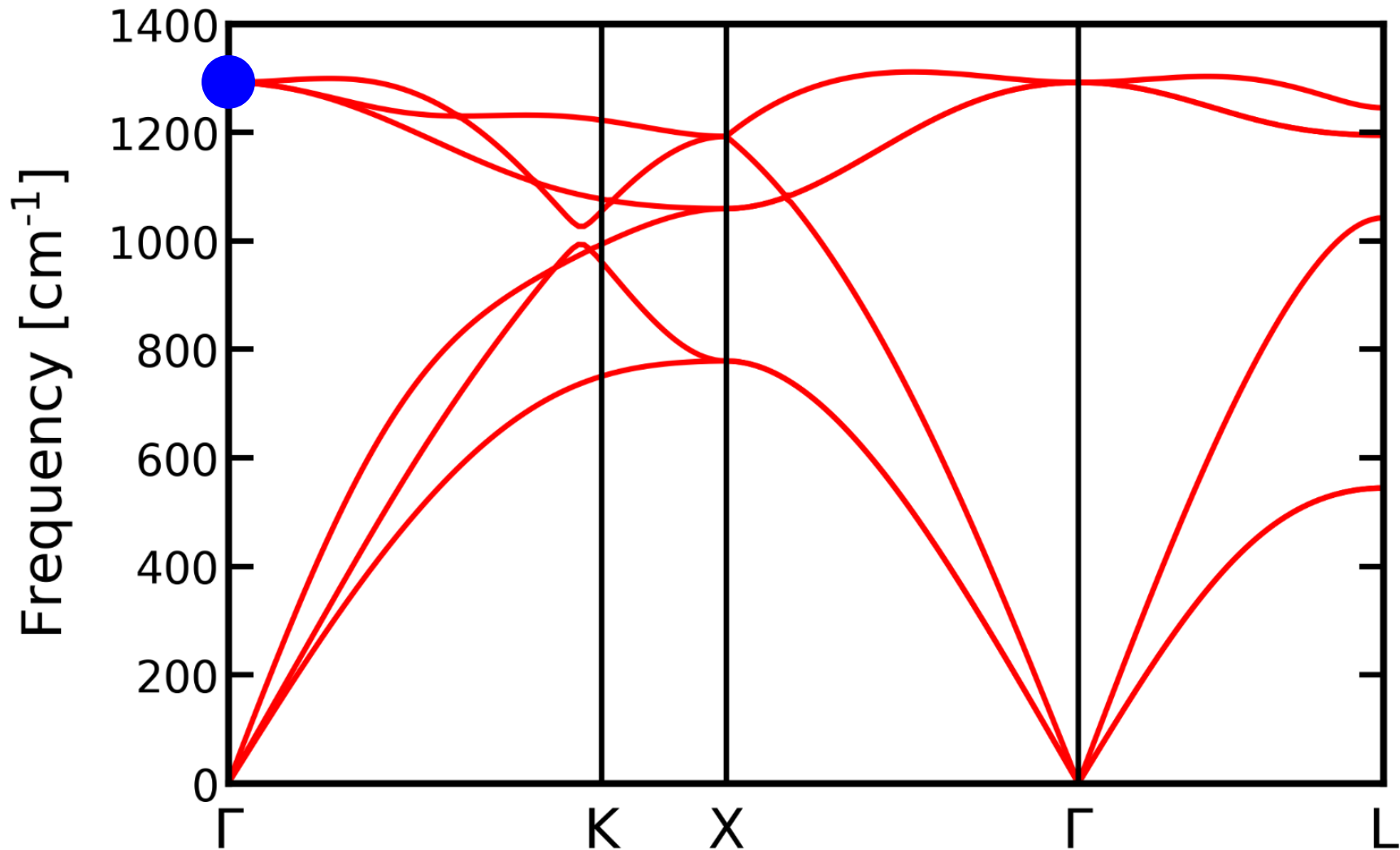
Relaxation of Pyridine



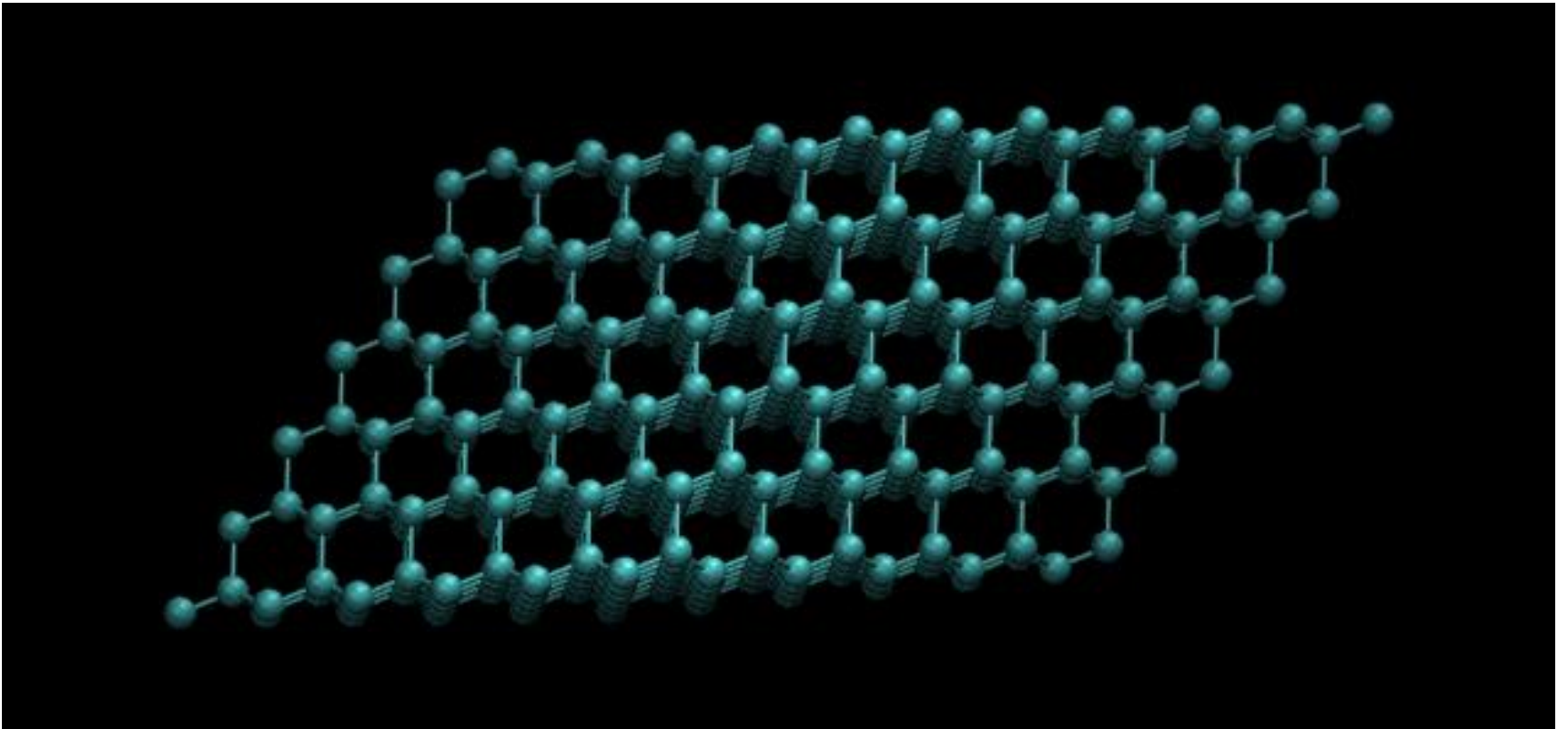
Graphene (100) Strain



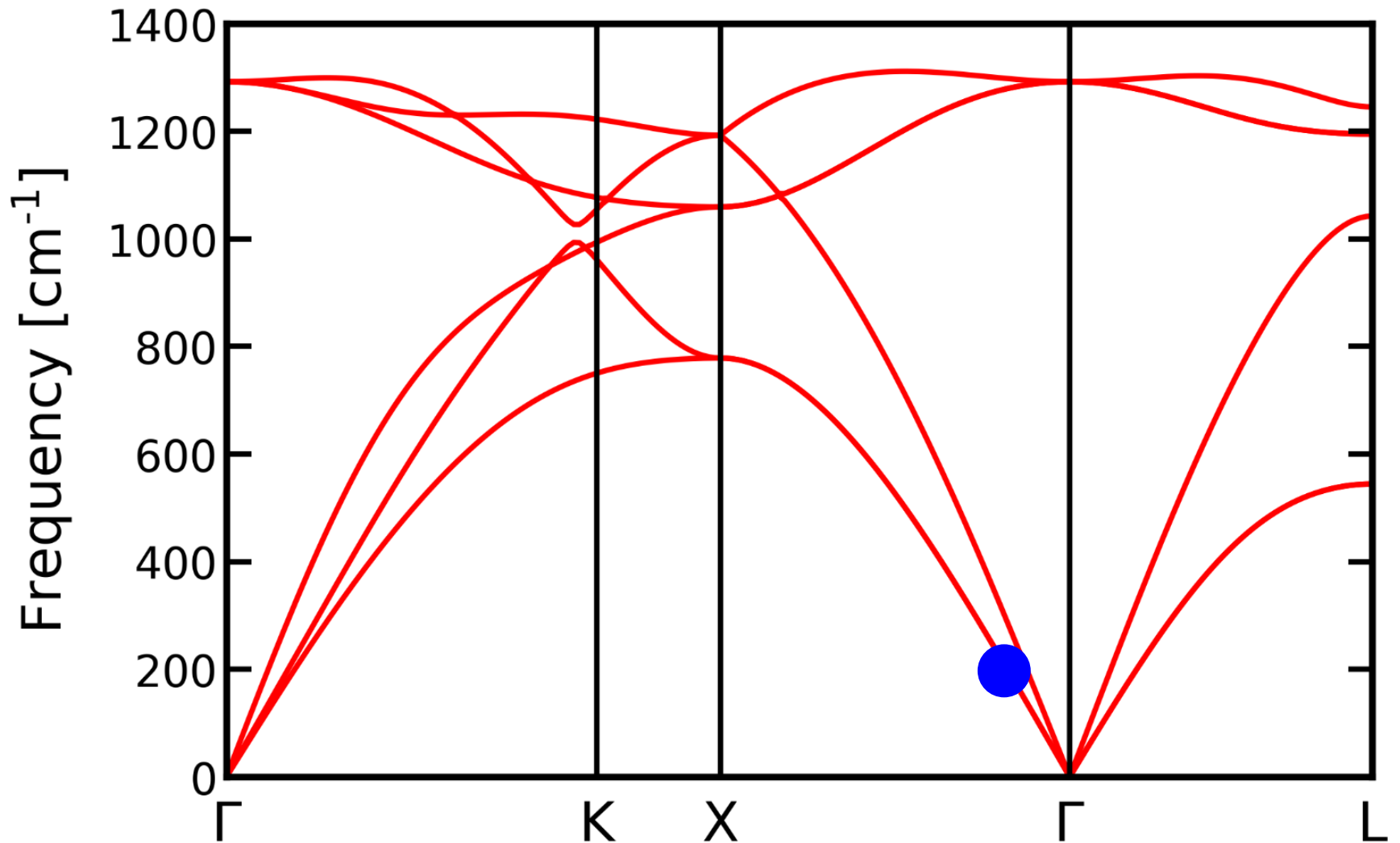
Phonon Dispersion of **diamond**



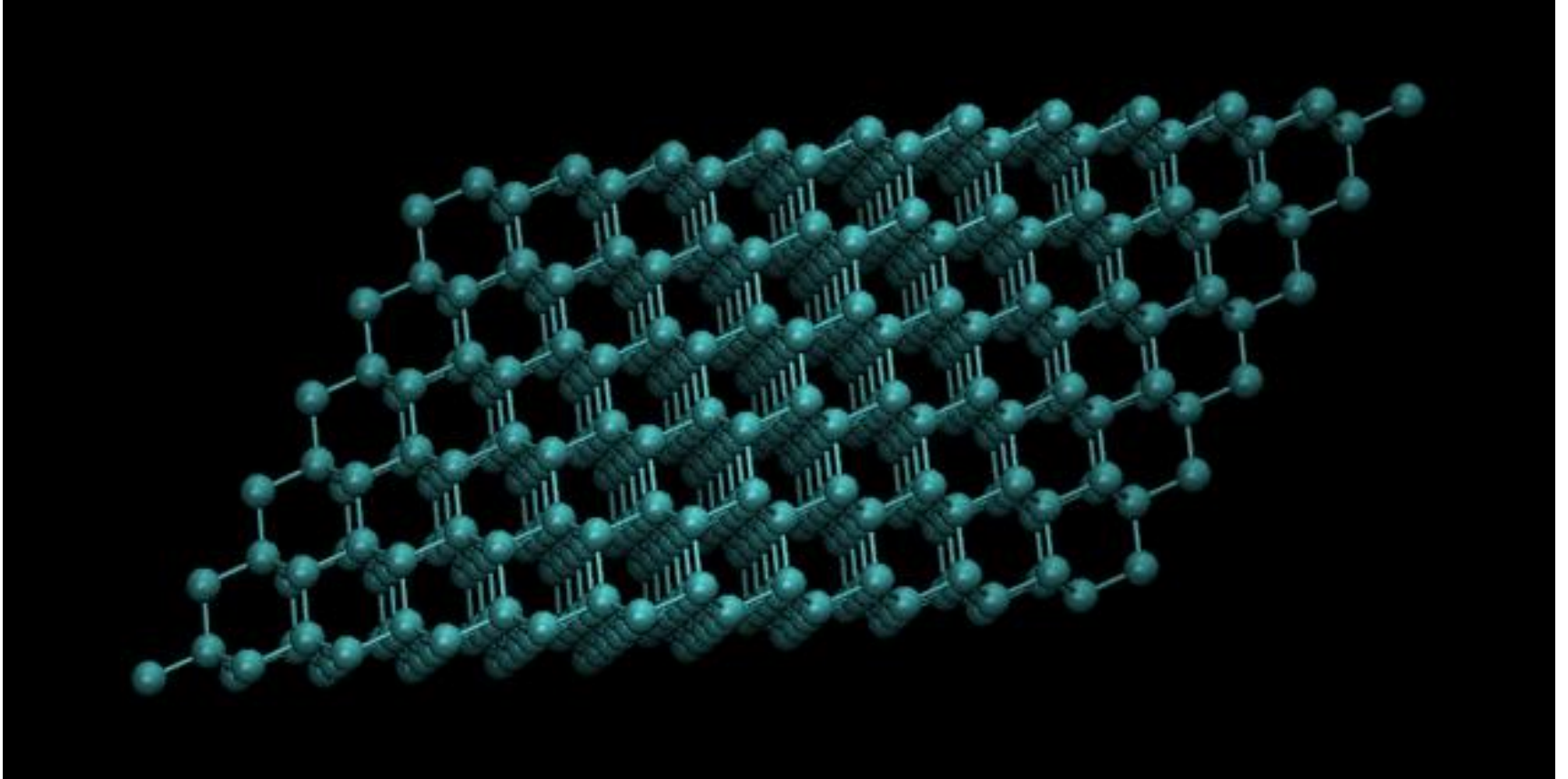
Animated Phonon Modes



Phonon Dispersion of **diamond**

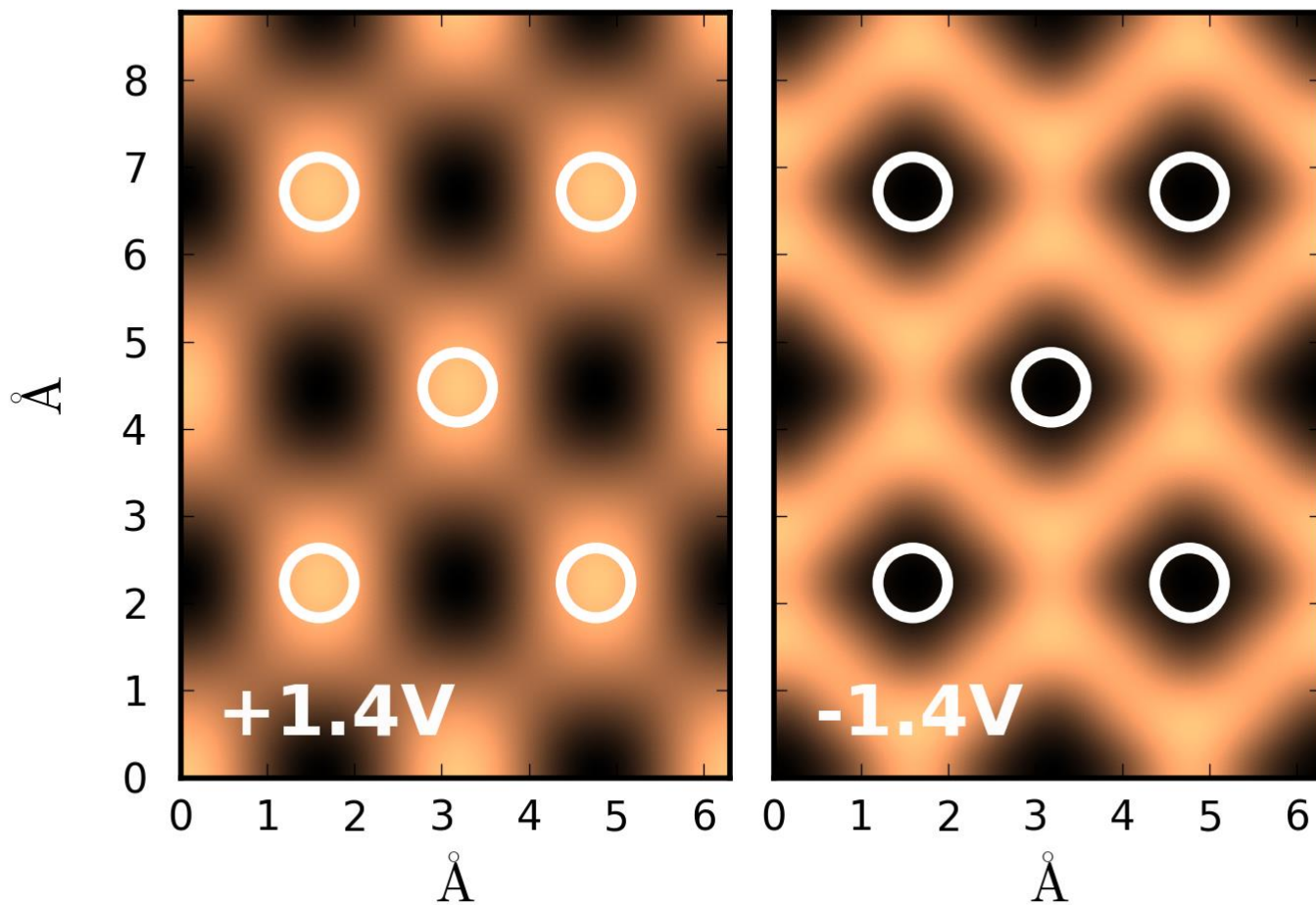


Animated Phonon Modes

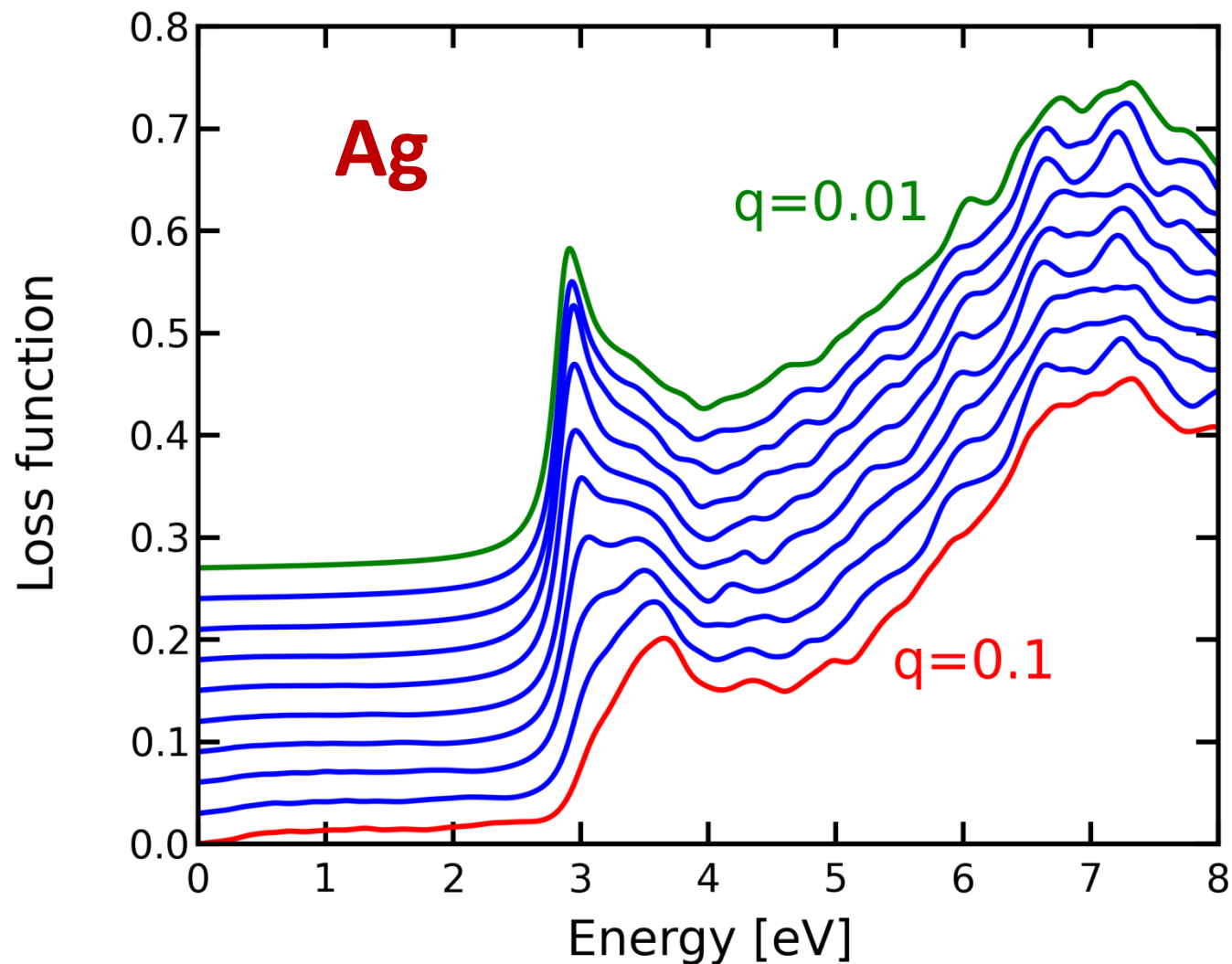


STM Images

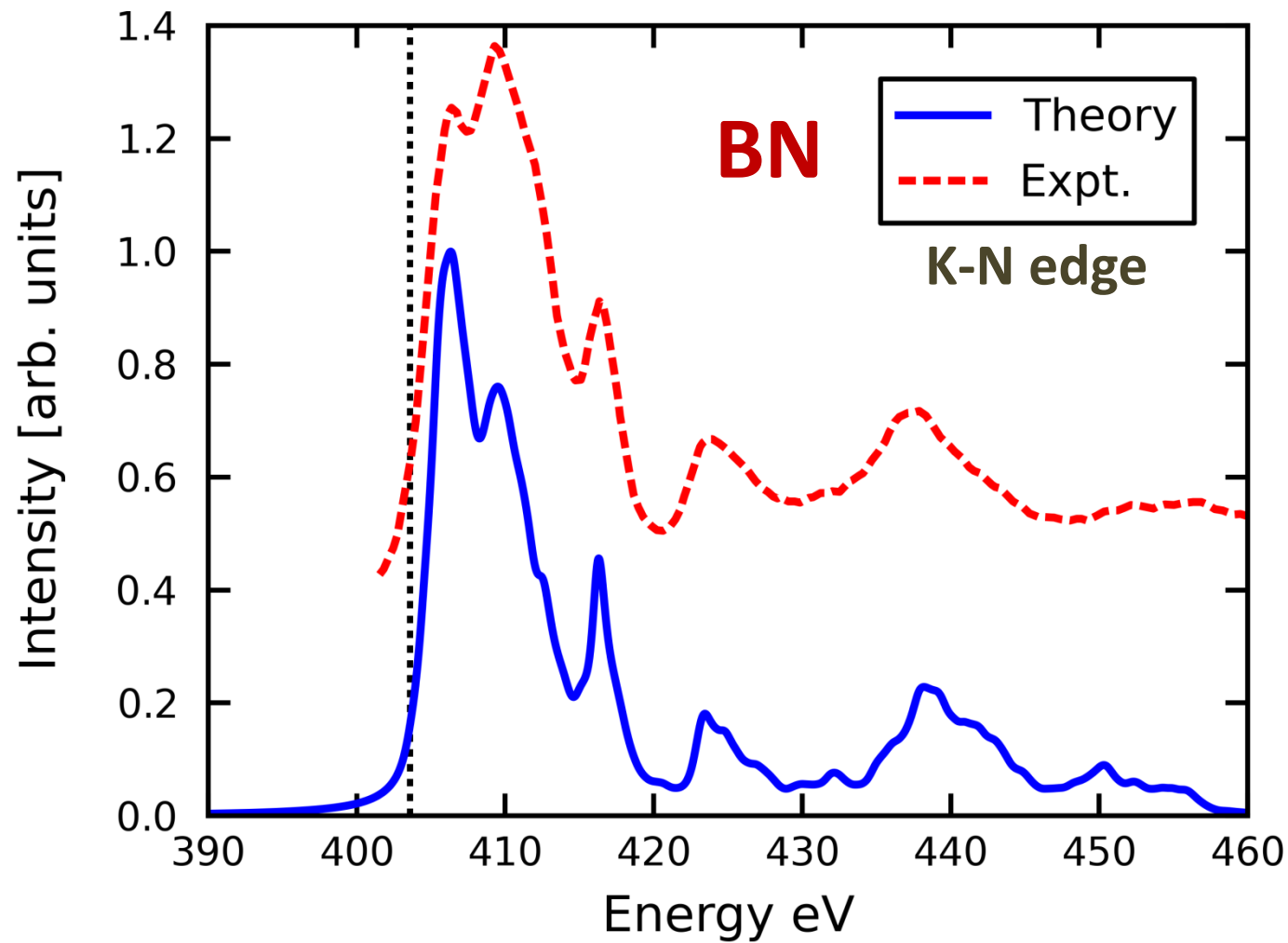
W(110)



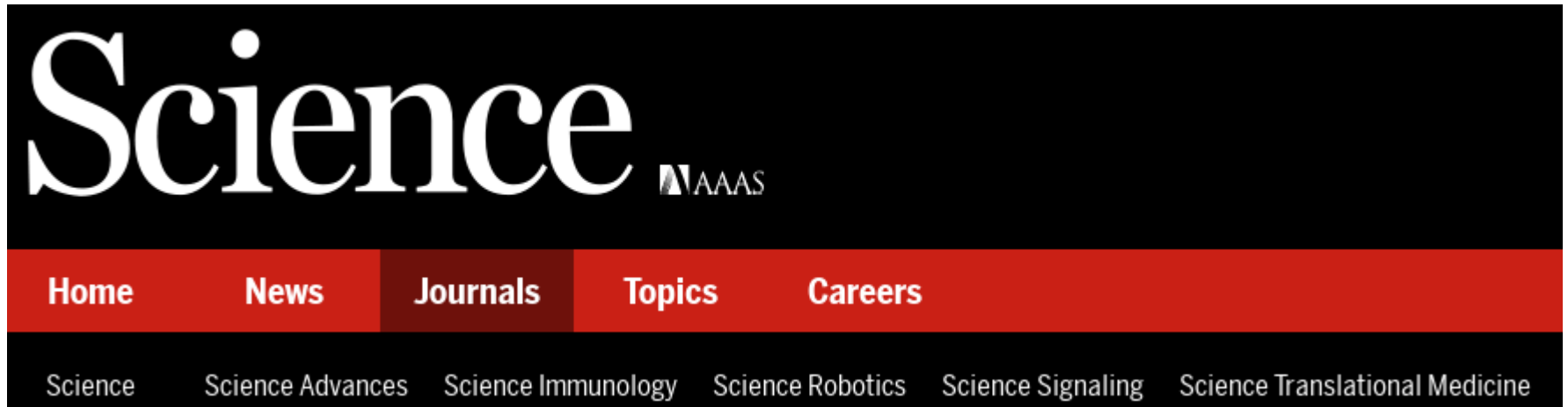
Electron-Loss Spectra (TDDFT)



Core-Level Spectra (**BSE**)



Validation of Ab-Initio Calculation

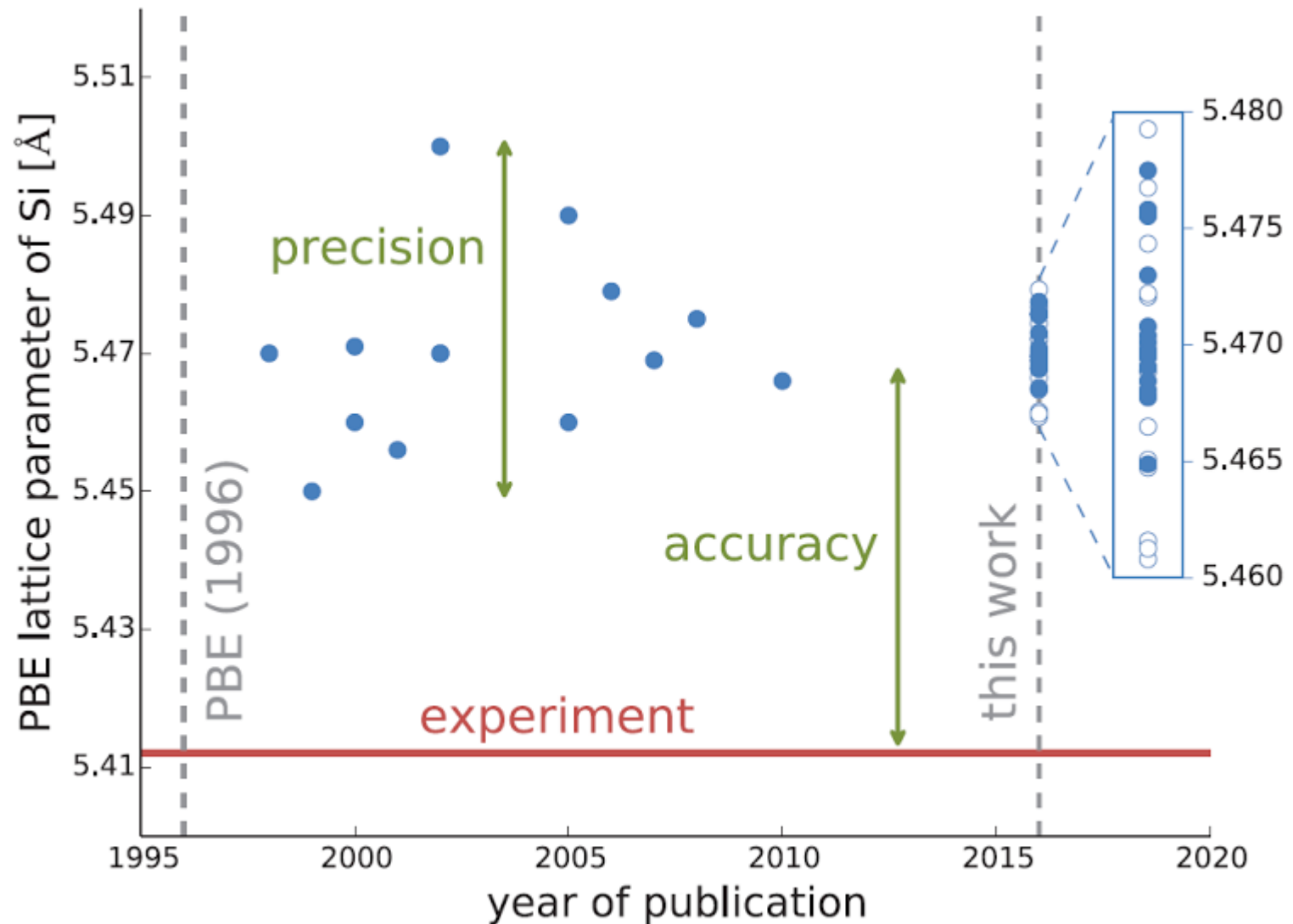


RESEARCH ARTICLE SUMMARY

DFT METHODS

Reproducibility in density functional theory calculations of solids

Validation of Ab-Initio Calculation



Excitons in van-der-Waals Materials

PHYSICAL REVIEW B **97**, 241114(R) (2018)

Rapid Communications

Dimensionality of excitons in stacked van der Waals materials: The example of hexagonal boron nitride

Wahib Aggoune,^{1,2} Caterina Cocchi,^{1,3,*} Dmitrii Nabok,^{1,3} Karim Rezouali,² Mohamed Akli Belkhir,² and Claudia Draxl^{1,3,†}

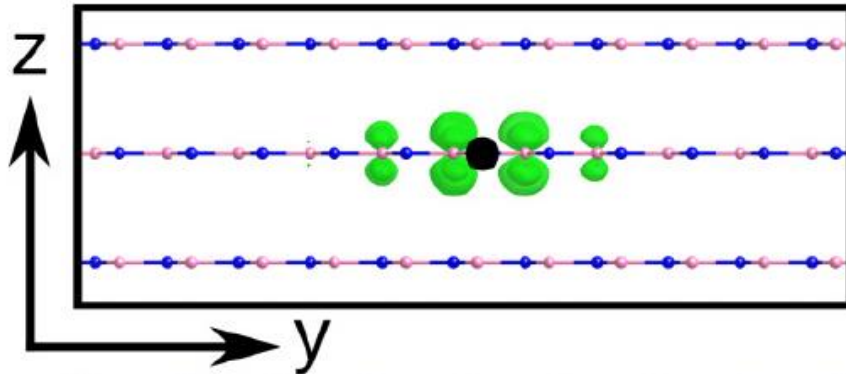
¹*Institut für Physik and IRIS Adlershof, Humboldt-Universität zu Berlin, Berlin, Germany*

²*Laboratoire de Physique Théorique, Faculté des Sciences Exactes, Université de Bejaia, 06000 Bejaia, Algeria*

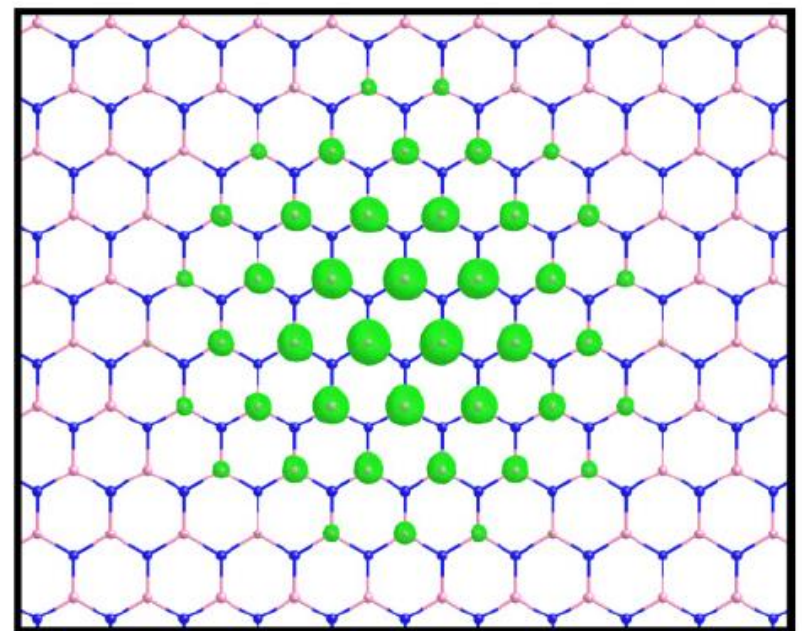
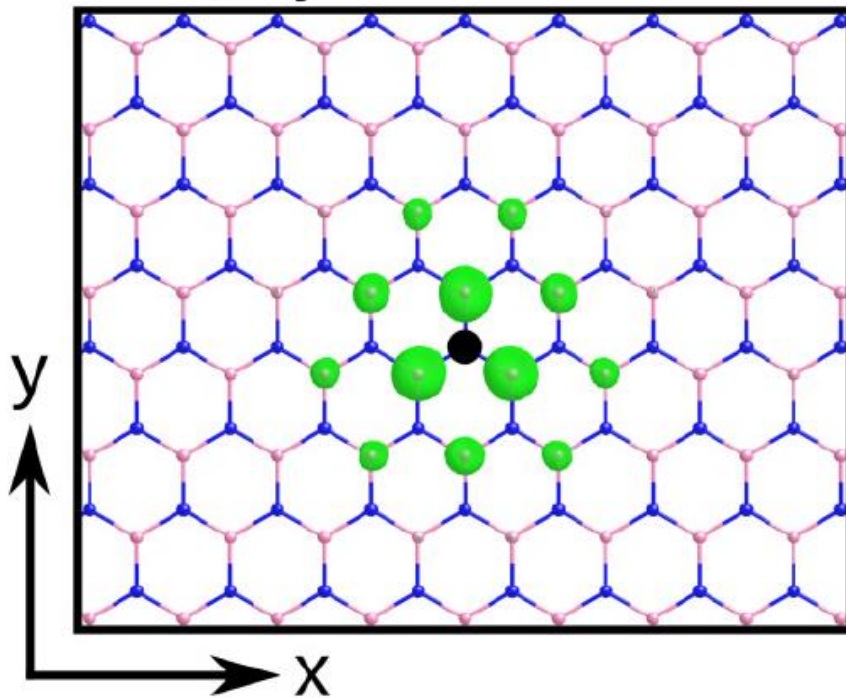
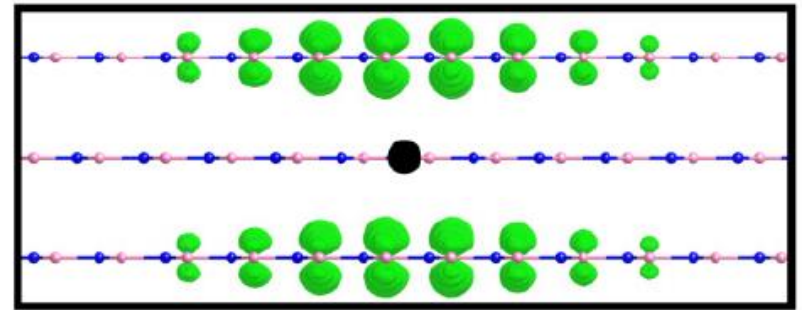
³*European Theoretical Spectroscopic Facility (ETSF)*

Excitons in van-der-Waals Materials

2D



CT




Predicting ground-state configurations



Review

pubs.acs.org/cm

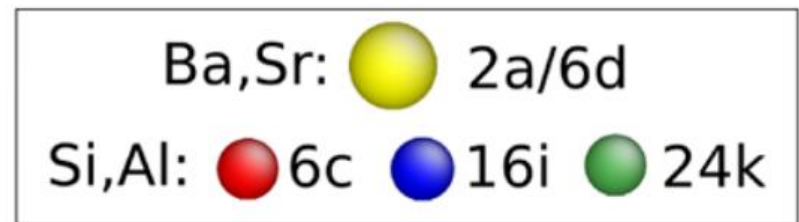
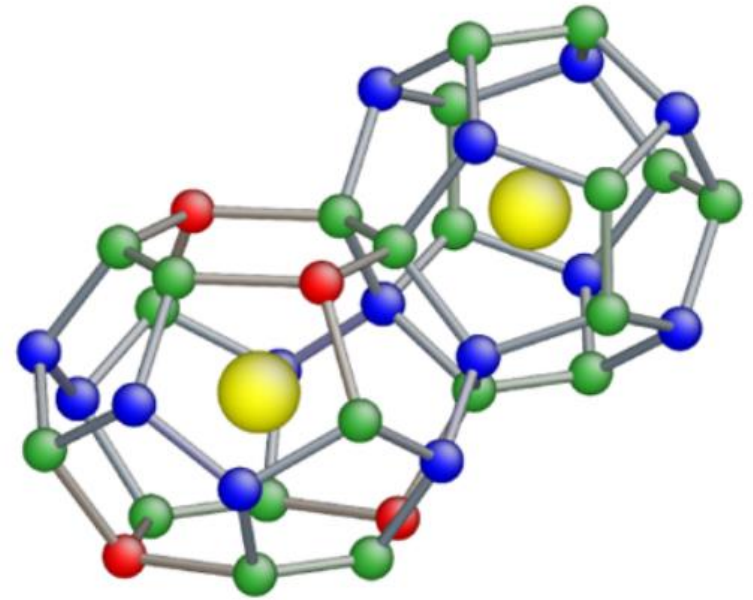
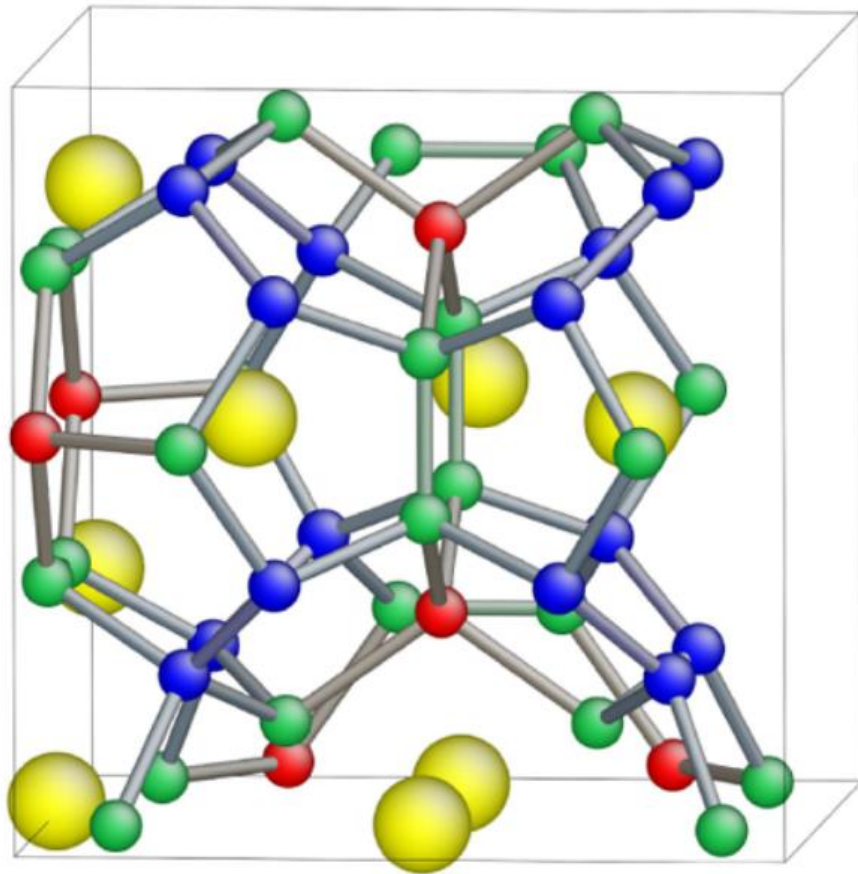
Predicting Ground-State Configurations and Electronic Properties of the Thermoelectric Clathrates $\text{Ba}_8\text{Al}_x\text{Si}_{46-x}$ and $\text{Sr}_8\text{Al}_x\text{Si}_{46-x}$

Maria Troppenz,[†] Santiago Rigamonti,[†] and Claudia Draxl^{*,†,‡} 

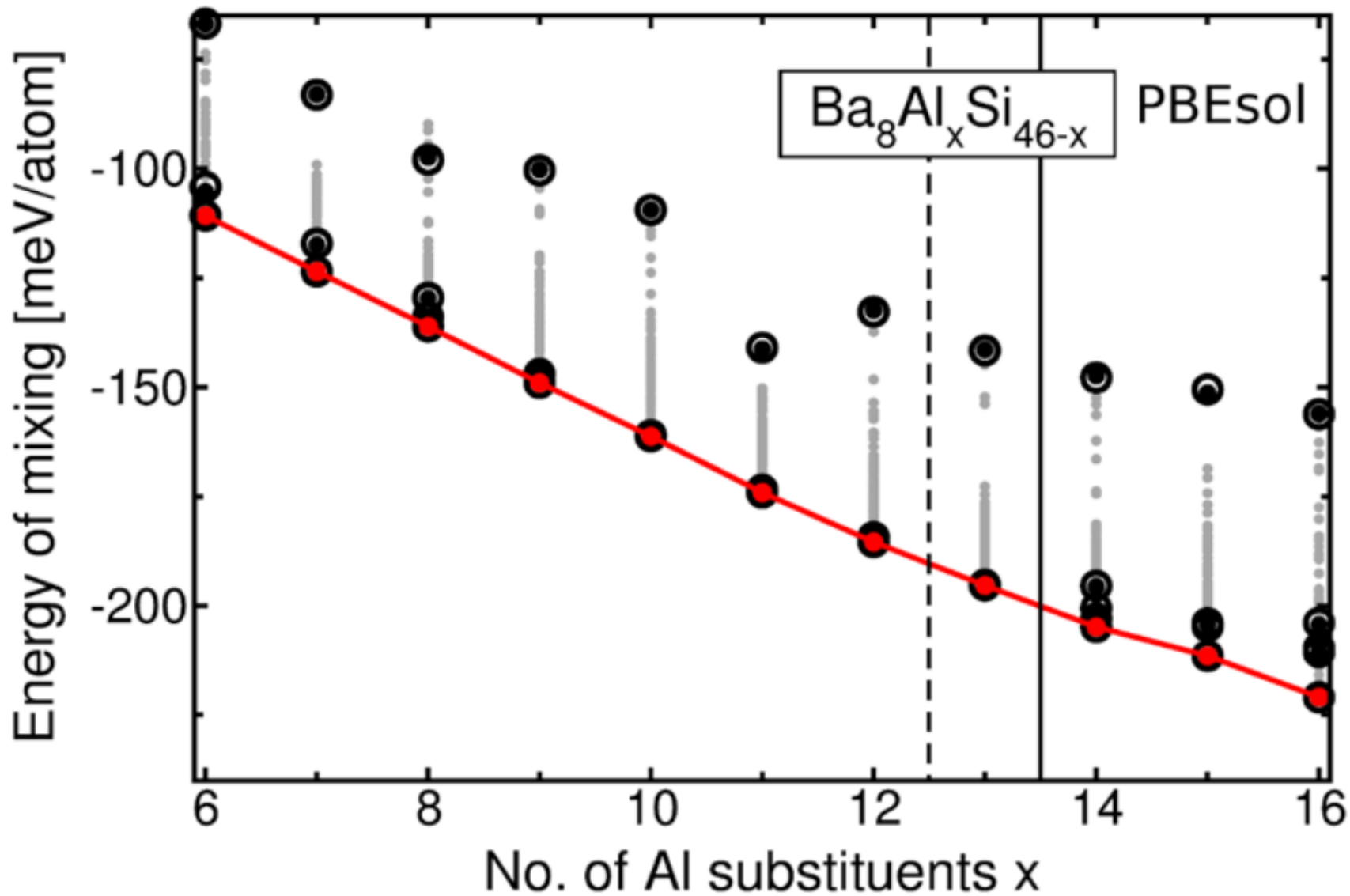
[†]Institut für Physik and IRIS Adlershof, Humboldt-Universität zu Berlin, 12489 Berlin, Germany

[‡]Fritz-Haber-Institut der Max-Planck-Gesellschaft, 14195 Berlin, Germany

Predicting ground-state configurations



Predicting ground-state configurations





exciting: **Tools & more**

★ **ElaStic**

★ **LayerOptics**

★ **CELL**

★ **NOMAD project**

The NOMAD Project

SUPPORTED BY



NOMAD

FOR EASY
UPLOADING, STORING,
RECOVERING, AND SHARING



exciting Input Format

```
<input>

  <title>Diamond</title>

  <structure speciespath="$EXCITINGROOT/species">

    <crystal scale="6.7274">
      <basevect>0.0    0.5    0.5</basevect>
      <basevect>0.5    0.0    0.5</basevect>
      <basevect>0.5    0.5    0.0</basevect>
    </crystal>

    <species speciesfile="C.xml">
      <atom coord="0.00 0.00 0.00"/>
      <atom coord="0.25 0.25 0.25"/>
    </species>

  </structure>

  <groundstate
    ngridk="4 4 4"
    outputlevel="normal"
    xctype="GGA_PBE_SOL">
  </groundstate>

</input>
```



exciting **Input Format**

- ❑ eXtensible Markup Language (**XML**)
- ❑ Input validation syntax
 - **Elements** -> **actions**
 - **Attributes** -> **parameter**

input.xml

```
<input>
```

```
  <title> Title </title>
```

```
  <structure speciespath="/path/species">
```

```
    ...
```

```
  </structure>
```

```
  ...
```

```
</input>
```

input.xml

```
<input>  
  <title> Title </title>  
  
  <structure ...> ... </structure>  
  
  <groundstate ngridk="8 8 8" ...>  
    ...  
  </groundstate>  
  ...  
  
</input>
```

input.xml

```
<input>  
  <title> Title </title>  
  
  <structure ...> ... </structure>  
  
  <groundstate ...> ... </groundstate>  
  
  <relax ...>  
  </relax>  
  ...  
</input>
```

input.xml

```
<input>
```

```
...
```

```
<phonon ...> ... </phonon>
```

```
</input>
```

Website: [Input Reference](#)

Download

<http://exciting-code.org>

exciting *oxygen*

June 15, 2021

exciting Website



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The exciting Code

exciting is a full-potential all-electron density-functional-theory package implementing the families of linearized augmented planewave methods. It can be applied to all kinds of materials, irrespective of the atomic species involved, and also allows for exploring the physics of core electrons. A particular focus are excited states within many-body perturbation theory.

- [More about exciting](#)
- [Publication list](#)

Latest news: Upcoming online tutorial!

"exciting NEWS 2021" - June 16-23

Download exciting



Current isotope release: **exciting oxygen**

Getting Started



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exciting Tutorials



Here, **atomic units** (Hartree, Bohr, etc.) are always used!

Tutorials describing **basic** features are indicated by **[b]**

Tutorials describing **advanced** features are indicated by **[a]**

READ BEFORE STARTING

[b] How to set environment variables for tutorial scripts

GETTING STARTED

[b] Download and compile exciting

[b] How to start an exciting calculation

[b] Simple convergence tests

[b] Electronic band-structure and density of states

[a] Understanding the exciting species files

The logo for 'exciting oxygen' features the word 'exciting' in a black, lowercase, sans-serif font, with the letter 'i' in a larger, bold font. The word 'oxygen' is written in a smaller, black, lowercase, sans-serif font, positioned to the right of 'exciting'. The entire text is overlaid on a cluster of yellow, translucent, spherical particles that resemble a molecular structure or a cluster of atoms.

exciting **Tutorials**

Tutorial Scripts and Environment Variables

by **Pasquale Pavone** for **exciting oxygen**

Purpose: Here, it will be explained how to set those **environment variables** which are needed for running examples presented in the tutorials. Furthermore, some general information is given about the scripts that are used in **exciting** tutorials.

Fold

Table of Contents

1. Setting environment variables
2. Tutorial scripts
 - General classification of script types
 - The examples in the tutorials were running using

exciting Tutorials

1. Setting environment variables

The next instructions have to be executed only once before starting your first tutorial!

Very important: Before starting, the following environment variable **must** be set by the user

- **EXCITINGROOT** = Full path of the directory **exciting** after download, e.g.: [/home/tutorials/exciting](#) .

The above variable is used to define other environment variables.

- **EXCITINGTOOLS** = Directory where the tutorial scripts are located, defined as **\$EXCITINGROOT/tools** .
- **TIMEFORMAT** = Output format for writing on the screen the running elapsed time, used in some script.

```
#=====
# The following shell variables are needed for executing scripts in exciting tutorials
#
export EXCITINGROOT=/home/tutorials/exciting
export EXCITINGTOOLS=$EXCITINGROOT/tools
#-----
export TIMEFORMAT="    Elapsed time = %01R"
#-----
export WRITEMINMAX="1"
#-----
export PYTHONPATH=$PYTHONPATH:$EXCITINGTOOLS/stm
export PATH=$PATH:$EXCITINGTOOLS:$EXCITINGROOT/bin:$EXCITINGTOOLS/stm
#=====
```



exciting **Tutorials**

- **Linux kernel version 4.19.0-13-amd64**
- **Intel-2019 compiler**
- **Bash shell**
- **Python 2.7.16**
- **Matplotlib 2.2.13**
- **Gnuplot 5.2 patchlevel 6**
- **xmgrace Grace-5.1.25**
- **vmd for LinuxAMD64, version 1.9**
- **xcrysden-1.5.24**
- **vesta 3.4.6**

exciting Tutorials



Here, **atomic units** (Hartree, Bohr, etc.) are always used!

Tutorials describing **basic** features are indicated by **[b]**

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[b] Simple convergence tests

[b] Electronic band-structure and density of states

[a] Understanding the exciting species files

The logo for 'exciting' features the word 'exciting' in a lowercase, black, serif font. The letter 'i' is replaced by a cluster of yellow, translucent, spherical particles that resemble a molecular structure or a cluster of atoms. To the right of this graphic, the word 'Tutorials' is written in a large, bold, black, sans-serif font.

exciting Tutorials

Download and Compile exciting

by **Alexander Buccheri** & **Pasquale Pavone** for **exciting oxygen**

Purpose: In this tutorial you will learn how to download and quickly compile **exciting**.

```
$ tar xvf exciting.oxygen.tar.gz
```

The logo for 'exciting Tutorials' features the word 'exciting' in a black, lowercase, sans-serif font. The letter 'i' is replaced by a cluster of yellow, 3D-rendered spheres of varying sizes, some overlapping, creating a molecular or atomic structure. To the right of this graphic, the word 'Tutorials' is written in a large, bold, black, sans-serif font.

exciting Tutorials

Download and Compile exciting

by **Alexander Buccheri** & **Pasquale Pavone** for **exciting oxygen**

Purpose: In this tutorial you will learn how to download and quickly compile **exciting**.

compile with Intel ifort:

```
$ cd $EXCITINGROOT
$ cp build/platforms/make.inc.ifort build/make.inc
$ make smp
```

compile with GCC gfortran:

```
$ cd $EXCITINGROOT
$ cp build/platforms/make.inc.gfortran build/make.inc
$ make smp
```



exciting **Tutorials**

```
$ time exciting_smp
```

```
$ make serial      # full serial version                --> exciting_serial  
$ make smp         # shared-memory multiprocessing version --> exciting_smp  
$ make mpi         # mpi-parallelised version          --> exciting_purempi  
$ make mpiandsmp  # hybrid smp-mpi parallelised version --> exciting_mpismp
```



exciting Tutorials

▀ GROUND STATE

▀ METHODS:

- [b]** Exchange-correlation functionals
- [b]** How to visualize Kohn-Sham states
- [b]** Spin-polarized calculations for bcc Fe
- [b]** Spin-orbit coupling
- [a]** Hybrid-functional calculations
- [a]** Exact-exchange calculations
- [a]** Van-der-Waals corrections
- [a]** Dipole correction for surface calculations
- [a]** DFT-1/2

▀ ELECTRONIC PROPERTIES:

- [a]** Wannier functions for interpolation in reciprocal space
- [a]** Fermi surface visualization
- [a]** Spin-texture calculation

The logo features the word "exciting" in a black, monospaced font, with the letter "i" in a larger, bold font. The word "Tutorials" is in a larger, bold, black sans-serif font. The text is overlaid on a 3D molecular model of a protein, rendered in a yellow and orange color scheme.

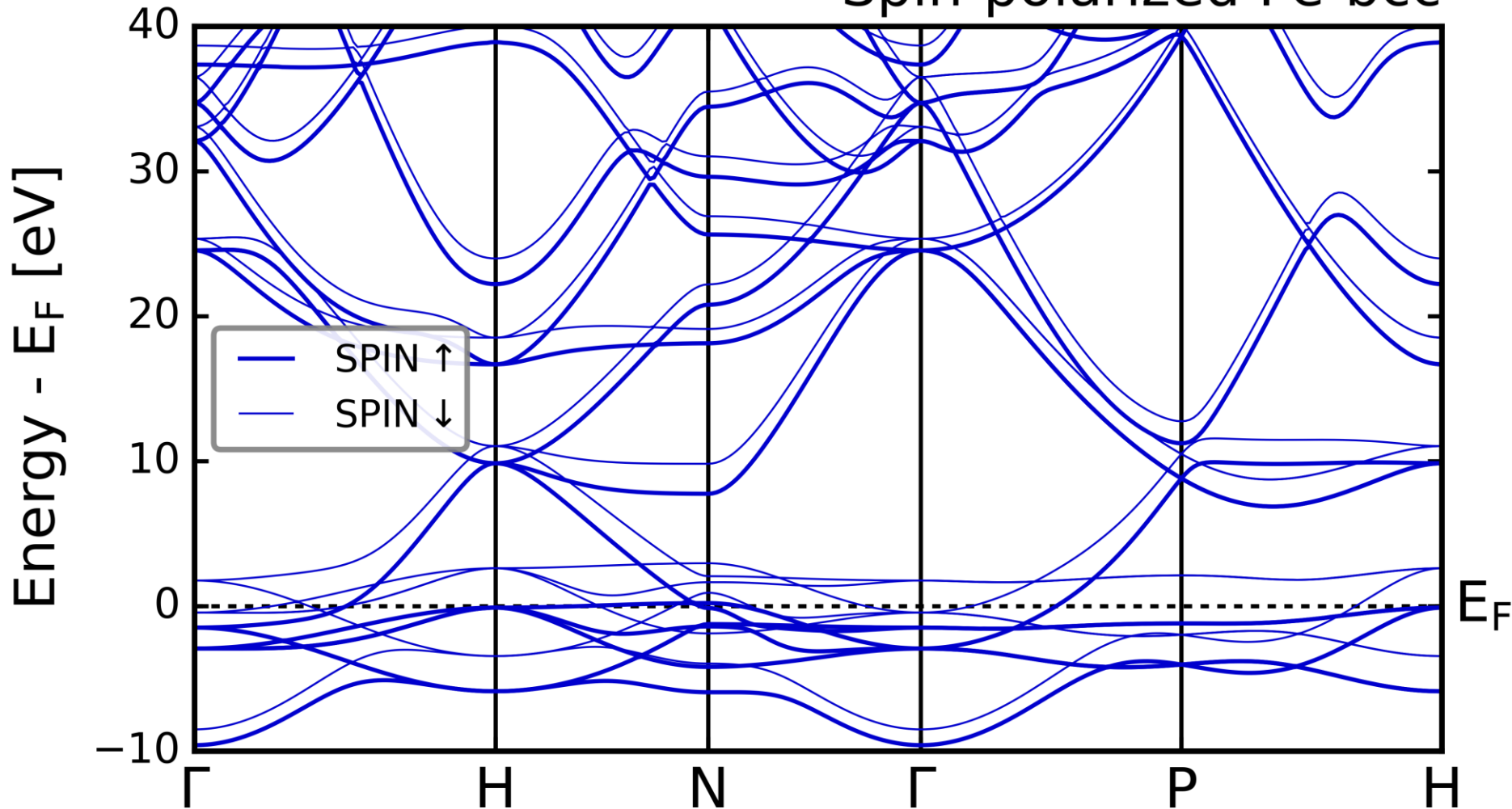
exciting Tutorials

► PLOTTING TOOLS

- [b]** The python script "PLOT-band-structure.py"
 - [b]** The python script "PLOT-dos.py"
 - [b]** The python script "PLOT-files.py"
 - [a]** The python script "PLOT-spintext.py"
 - [a]** The python script "PLOT-multitask.py"
-

exciting Tutorials

Spin-polarized Fe-bcc



Recommendation

We assume you are familiar with

Linux

The Last Slide: We are so Excited!



... and let's give a try!